

033104 17157 U.S. PTO

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kazuhito Nakamura, Cory Wajda, Enrico Mosca,  
Yumiko Kawano, Gert Leusink, Fenton R. McFeely,  
Sandra G. Malhotra

Atty. Docket: RAJ-018

Title: A METHOD FOR PROCESSING A SUBSTRATE

16834 U.S. PTO  
10/814768

**CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10**

'Express Mail' mailing label number: EV296622132US

Date of Deposit: March 31, 2004

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kenneth Eads

By: Kenneth Eads (person mailing paper)

**UTILITY PATENT APPLICATION TRANSMITTAL**

*Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450*

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☒ **Original (non-provisional) application.**  
☐ Divisional of prior application Serial No. \_\_, filed on \_\_.  
☐ Continuation of prior application Serial No. \_\_, filed on \_\_.  
☐ Continuation-in-part of prior application Serial No. \_\_, filed on \_\_.

**PRELIMINARY AMENDMENT/CALCULATION OF FEES**

- ☐ Please cancel claims \_\_ without prejudice, and prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☐ Small Entity Status is Requested
- ☒ **The Fees are Calculated as Follows:**

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	34	20	14	\$18	\$252.00
Independent Claims	2	3	0	\$86	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$770.00
TOTAL OF ABOVE CALCULATIONS					\$1,022.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					
TOTAL					\$1,022.00

**CORRESPONDENCE ADDRESS**

- ☒ Customer No. 26875

**ENCLOSURES**

- ☒ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- ☒ Return Postcard.

**APPLICATION PAPERS**

- ☒ Utility Patent Application, with: cover sheet, 25 page(s) specification (including 34 total claim(s), of which 2 is(are) independent, and 1 page(s) abstract).
- ☒ Drawings: 12 sheet(s) of formal drawings ( 26 total figure(s)).
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☒ An Unsigned Declaration, Power of Attorney and Petition Form.
- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

**ADDITIONAL PAPERS**

- ☐ Assignment to \_\_, Recordation Cover Sheet (Form PTO-1595)
- ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement, \_\_ sheet(s) Form PTO-1449, \_\_ U.S. Patent Reference(s), \_\_ Foreign Patent Reference(s) and \_\_ Other Reference(s)
- ☒ Other: Petition for Expedited License for Foreign Filing.


**CHECKS**

- ☒ A Check of \$1,022.00 for the filing fee.
- ☒ A Check of \$130.00 for Petition for Expedited Foreign Filing fee.
- ☐ A Check of \_\_ for the assignment recording fee.

**DEPOSIT ACCOUNT AUTHORIZATION**

- ☐ Please charge Deposit Account No. 23-3000 in the amount of    .
- ☒ **The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.**
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.
- 

Respectfully Submitted,

  
Kristi L. Davidson  
Reg. No. 44,643

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: Unknown  
Filed: March 31, 2004  
Group Art Unit: Unknown  
Examiner: Unknown  
Applicant: Nakamura et al.  
Title: A METHOD FOR PROCESSING A SUBSTRATE  
Attorney Docket: RAJ-018

Cincinnati, Ohio 45202

March 31, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**PETITION FOR EXPEDITED LICENSE FOR FOREIGN FILING**  
**(U.S. PATENT APPLICATION BEING FILED CONCURRENTLY)**  
**(37 CFR 5.14)**

**1. Petition**

Petition is hereby made, in duplicate, for a license under 37 CFR § 5.12(b), for the foreign filing of the subject matter for which a corresponding U.S. application is being filed concurrently herewith. A copy of this application is attached hereto to assist in the handling of this petition.

It is requested that the duplicate copy of the petition be returned with the license or other action on the petition.

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**2. Identification of inventor(s), title of invention and short statement as to the nature of the invention**

Inventors: Kazuhito Nakamura  
Cory Wajda  
Enrico Mosca  
Yumiko Kawano  
Gert Leusink  
Fenton R. McFeely  
Sandra G. Malhotra

Title of Invention: A METHOD FOR PROCESSING A SUBSTRATE

See attached patent application for description of invention. It is believed that this invention is clearly of no interest from a security standpoint.

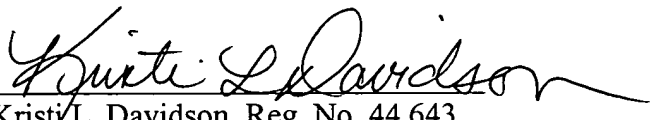
**3. Expedited handling (37 CFR § 1.17(h))**

Expedited handling of this petition for license is requested and the fee therefore is to be paid as follows:

A check for \$ 130.00 is submitted herewith. If any additional charges or credits are necessary to complete this communication, please apply them to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:   
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